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ANNEXURE O
TRANSMISSION FORM

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Date | D | D | | M | M | | Y | Y | Y | Y |

To,
NDA Securities Limited
E-157, Kalkaji,
New Delhi – 110019
DP ID   :   IN303663

(1) I/We, the undersigned, being the

Executor(s) of the Will		Legal heir(s)	
Administrator(s) of the Will		Joint Holder(s)	
Successor(s) of the Will		Nominee	
Survivor(s) of the Will			

of   Mr./Mrs./Ms. _____ ,Mr./Mrs./Ms. _____ and

Mr./Mrs./Ms. _____, the deceased, of which *****nomination / probate/ letter of

administration / succession certificate was duly granted to me / us on the _____ day of _____of

_____ hereby request you to register me/us as the beneficial owner(s) in respect of the

securities standing in the name of the said deceased under Client ID _____DP ID_____.

(2) I/We give hereunder the details of my/our account with a Participant to which the security
**   balances are requested to be transmitted:**

Name	Client ID	DP ID

(3) List of Documents enclosed (*for Individual accounts*) (*tick as applicable*):

☐ A For surviving holder(s) in a joint account

☐ Copy of Death Certificate duly attested by a Notary Public or by a Gazetted Officer.

☐ B For nominee of the deceased:

☐ Copy of Death Certificate duly attested by a Notary Public or by a Gazetted Officer.

☐ In case the account of the claimant is not with the Participant, copy of Client Master
Report of the account of the nominee.

☐ C For legal heir(s)/legal representative(s) where deceased was a sole holder and no nomination in the
account - Value of holding not exceeding Rs.5 lakh on the date of application

☐ Copy of Death Certificate duly attested by a Notary Public or by a Gazetted Officer

☐ In case the account of the claimant is not with the Participant, copy of Client Master
Report of the account of the claimant.

☐ Indemnity (*format given at Annexure OC*)

☐ Affidavit (*format given at Annexure OD*)

☐ No objection certificate(s) (*format given at Annexure OE*)

☐ Family Settlement Deed [*as an alternate to No objection certificate(s)*]

☐ **D** For legal heir(s)/Legal representative(s) where deceased was a sole holder and no nomination in the account - Value of holding was Rs. 5 lakh or more on the date of application

☐ Copy of death Certificate duly attested by a Notary Public or by a Gazetted Officer

☐ In case the account of the claimant is not with the Participant, copy of Client Master Report of the account of the claimant.

☐ Succession certificate

☐ Letter of Administration

☐ Probate of the Will

(4) Declaration:

(*Applicable only for legal heir(s)/legal representative(s) where deceased was a sole holder and no nomination in the account - Value of holding not exceeding Rs. 5 Lakh on the date of application*)

We declare that the below mentioned person(s) are the only legal heir(s) of the deceased and there are not other legal heir(s). Of these, as specified below, some/all of them are claimants and some of them have given a No Objection Certificate in favour of other legal heir(s). Details are given below:

Sr. No.	Name of the legal heir(s)	Specify whether a claimant or given a No Objection Certificate

(5) List of Documents enclosed (*for HUF accounts*) (*tick as applicable*):

A Value of holding not exceeding Rs.10 lakh on the date of application. [Where there is an objection from any member of HUF, documents mentioned at **B** below must be submitted]

☐ Copy of Death Certificate duly attested by a Notary Public or by a Gazetted Officer.

☐ Indemnity (*format given at Annexure OF*)

☐ Affidavit (*format given at Annexure OG*)

B Value of holding was Rs. 10 lakh or more on the date of application.

☐ Copy of Death Certificate duly attested by a Notary Public or by a Gazetted Officer

☐ Court Decree

☐ Deed of Partition

C Separation/ partition of HUF:

☐ Copy of Death Certificate duly attested by a Notary Public or by a Gazetted Officer

☐ Settlement Deed

☐ Deed of Partition

☐ Court Decree

(6) Signatures:

Sr. No.	Name of claimant	Signature of claimant

Notes: 1. This request form should be signed by the surviving joint holder(s)/ legal heir(s)/ legal representative(s)/ nominee / all surviving members of the HUF, as the case may be.

2. * Strike off whichever is not applicable.